C10 Rec'd PCT/PTO 2'3 JUN 2005

ATTORNEY'S DOCKET NUMBER Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TAM-053 (Rev. 02-2005) TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO NOT VITE SALES 40503 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. December 24, 2003 December 24, 2002 PCT/JP2003/016523 DATE TITLE OF INVENTION June 23, 2005 SUGAR CHAIN ASPARAGINE DERIVATIVES, SUGAR CHAIN ASPARAGINE, SUGAR CHAIN, AND PROCESSES FOR PRODUCING THESE

APPLICANT(S) FOR DO/EO/US

Yasuhiro KAJIHARA, Kazuaki KAKEHI and Kazuhiro FUKAE

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [XX] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below.
- [XX] The US has been elected by the expiration of 19 months from the priority date (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [XX] has been communicated by the International Bureau.
 - [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [XX] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (116 pages).
 - a. [XX] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [XX] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [XX] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. [XX] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- [XX] A FIRST preliminary amendment.
- 14. [] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [] A substitute specification.
- 16. [] A change of power of attorney and/or address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. [] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

f. WO 2004/058984 A1 (cover page)

- 20. [XX] Other items or information:
 - a. PCT/ISA/210
 - b. PCT/IB/301
 - c. PCT/IB/304
 - d. PCT/IB/308
 - e. PCT/IB/332

U.S. Application No. International Application No. : Attorney's Docket No. Not yet assigned 1540503 PCT/JP2003/016523 TAM-053 CALCULATIONS PTO USE ONLY The following fees are submitted: 300.00 22. [XX] .Examination fee If international preliminary examination report prepared by UPSTO and all claims satisfy provisions of PCT Article 33(1)-(4) 200.00 23. [XX] Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 400.00 TOTAL OF 21, 22 AND 23 \$ 900.00 Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). National Stage Application Size Fee - If the specification and drawings exceed 100 sheets, the application size fee due is \$250.00 for each additional 50 sheets or fraction thereof. 250,00 NUMBER EXTRA CLAIMS NUMBER FILED RATE Total claims 27 - 20 7 x \$ 50.00 \$ 350.00 \$ 4 -1 x \$ 200.00 200.00 Indep. claims 3 + \$ 360.00 \$ 0.00 Multiple dependent claim(s) (if applicable) TOTAL OF ABOVE CALCULATIONS \$ 1,700.00 0.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ reduced by 1/2. \$ 1,700.00 Processing fee \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). 0.00 TOTAL NATIONAL FEE \$ 1,700.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be

a. [XX] Payment in the amount of \$1,700.00 is authorized by credit card (PTO-2038).

accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

b. [] Check in the amount of \$*.00 to cover the above fees is enclosed.

c. [] Please charge my Deposit Account No. 111833 in the amount of \$ to cover the above fees.

d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 111833.

Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR NOTE: 1.137(a) or (b) must be filed and granted to restore the application to pending status.

TOTAL FEES ENCLOSED

SEND ALL CORRESPONDENCE TO: KUBOVCIK & KUBOVCIK The Farragut Building Suite 710 900 17th Street, N.W. Washington, D.C. 20006 Signature Ke<u>iko Tanaka Kubovcik</u> Name 40,428 Registration Number June 23, 2005 Date

\$

\$

0.00

\$

1,700.00

Amount to be refunded:

charged